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### **RESEARCH ARTICLE**



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# Microstructure evolution in $Si^+$ ion irradiated and annealed $Ti_3SiC_2$ MAX phase

 $Ti_3SiC_2$  samples were irradiated by a 6-MeV Si<sup>+</sup> ion to a fluence of  $2 \times 10^{16}$  Si<sup>+</sup>

ions/cm<sup>2</sup> at 300°C followed by annealing at 900°C for 5 h. A transmission elec-

tron microscope was used to characterize microstructural evolution. The phase of

Ti<sub>3</sub>SiC<sub>2</sub> transformed from the hexagonal close-packed (HCP) to a face-centered

cubic structure after irradiation. Hexagonal screw dislocation networks were

identified at the deepest position of the irradiated area, which are the products of

dislocations reactions. After annealing, the irradiated region has reverted to the

original HCP structure. High-density cavities and stacking faults were formed

along the basal planes. In addition, ripplocations have been observed in the irra-

diated region in the Ti<sub>3</sub>SiC<sub>2</sub> sample after annealing. Our insights into the forma-

tion processes and corresponding mechanisms of these defect structures might

be helpful in the material design of advanced irradiation tolerance materials.

annealing, dislocation networks, ion irradiation, ripplocations, Ti<sub>3</sub>SiC<sub>2</sub>

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Abstract

**KEYWORDS** 

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## **1** | INTRODUCTION

In recent years, a class of ternary layered hexagonal carbides and nitrides called MAX phases have attracted extensive research attention.<sup>1,2</sup> MAX phases have the general chemical formula of  $M_{n+1}AX_n$ , where n = 1-3 and M is an early transition metal element (such as Ti, V, and Nb), A is an A-group element (such as Al and Si) and X is either C and/or N.<sup>3-6</sup> The unique crystal structure and electronic density of states make MAX phase materials exhibit both ceramic and metallic properties: low density, perfect heat conductivity, high strength, excellent corrosion resistance,

and high-temperature oxidation resistance.<sup>7</sup> Fracture energy absorption mechanisms, such as crack deflection, interlaminar tearing, and inter-grain slippage, make the MAX phase have good plastic deformation behavior.<sup>8</sup> Due to their remarkable properties, MAX phase materials have been used in various applications, such as MAX phase material heaters, three-way crystal reactors, gas burner nozzles, Ta<sub>2</sub>AlC/Ag bearing and superalloy foils, and two-

## material heaters, three-way crystal reactors, gas burner nozzles, Ta<sub>2</sub>AlC/Ag bearing and superalloy foils, and twodimensional layered MXene material for batteries.<sup>9</sup> So far, roughly 155 different types of MAX phases have been discovered/synthesized.<sup>10</sup> The chemical diversity and highly resistance to radiation damage make many MAX

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phases the promising candidate materials for nuclear reactors,<sup>11–14</sup> wherein the materials are exposed to a harsh service environment: high radiation, high temperature, and highly corrosive environment.

It has been found that  $M_{n+1}AX_n$  phases experienced mechanical property changes and phase transformations under radiation conditions.<sup>15,16</sup> Mental et al.<sup>17,18</sup> showed that the specific activities of Ti<sub>3</sub>SiC<sub>2</sub> and Ti<sub>2</sub>AlC MAX phases were similar to SiC materials under 60-year thermal neutron and fast neutron irradiations. Moreover, they are three orders of magnitude lower than that of Alloy 617 nickel-based alloy, which makes the MAX phases a potential coating material to protect the Zircaloy tubes. Besides, Filbert<sup>19</sup> and Spencer<sup>20</sup> verified that the Ti<sub>3</sub>SiC<sub>2</sub> MAX phase, which has good chemical compatibility with SiC, could be used as a nuclear-used SiC<sub>f</sub>/SiC composite interphase coating material. Under neutron irradiation, the evolution of microstructures of MAX phases with different chemistry is very complex. For example,<sup>21</sup> with the increase of radiation damage from 2 to 10 dpa, the cavities were seen to form only along grain boundaries (GBs) in Ti<sub>3</sub>SiC<sub>2</sub>. However, they were seen to be well dispersed in the matrix of the Ti<sub>2</sub>AlC. Some neutron radiation results suggest that Ti<sub>3</sub>SiC<sub>2</sub> could be used at temperatures higher than 700°C in reactors as the volume fraction of TiC and densities of stacking faults (SFs) are eliminated or minimized under such condition.<sup>22</sup> The electrical and thermodynamic resistivities of several MAX phases, including Ti<sub>3</sub>AlC<sub>2</sub>, Ti<sub>3</sub>SiC<sub>2</sub>, Ti<sub>2</sub>AlC, and Ti<sub>2</sub>AlN, were found to increase with the fluence under neutron irradiation as the density of radiation-induced point defects that can scatter charge carrier increases.<sup>16</sup>

There are also many studies on the behaviors of the MAX phases under ion irradiation, which showed most MAX phases have superior ion irradiation resistance.<sup>23</sup> For example, Ti<sub>3</sub>AlC<sub>2</sub> was found to be amorphousresistant up to 52 and 25 dpa under irradiation by 500-keV He ions and 1-MeV Xe ions, respectively.<sup>24,25</sup> However, the Cr-containing MAX phases, such as Cr<sub>2</sub>AlC and Cr<sub>2</sub>GeC, show poor radiation resistance.<sup>26</sup> In addition, the irradiation-induced expansion of crystal lattices of MAX phases could lead to microstrain and volumetric swelling resulting in cracking.<sup>27</sup> Anisotropic lattice changes were seen in Ti<sub>3</sub>AlC<sub>2</sub> and Ti<sub>3</sub>SiC<sub>2</sub> MAX phases resulting in cracking along GBs under heavy ion irradiation at temperatures below 400°C.<sup>28</sup> Under light ion irradiation like helium ion irradiation, Ti<sub>3</sub>SiC<sub>2</sub> was found to have an excellent He impurities resistance and could retain its mechanical properties under the high-temperature irradiation conditions.29

Compared with the complex microstructure evolutions caused by the synergistic effects of neutron irradiation, ion irradiation can specifically study the influence of a specific irradiation factor on the structure of the material. For example, heavy ion irradiation mainly studies the influence of radiation damage on materials, whereas light ion irradiation mainly studies the influence of transmutation products on materials. Meanwhile, some specific heavy ions can simulate specific fission product elements in the reactor. Thus, in order to study the effects of radiation damage and annealing process on the microstructure of the  $Ti_3SiC_2$  material, in the present study, Si ion was injected into the  $Ti_3SiC_2$  bulk sample at 300°C then annealed the sample at 900°C. The formation processes and corresponding mechanisms of different defects that caused by radiation damage are discussed in detail in the following section.

## **2** | EXPERIMENTS

Commercial  $Ti_3SiC_2$  bulk materials were irradiated by Si<sup>+</sup> ions at an energy of 6 MeV up to a fluence of  $2 \times 10^{16}$  Si<sup>+</sup> ions/cm<sup>2</sup> at 300°C. The materials were then annealed in an argon (99.999% purity) protection tube furnace at 900°C for 5 h. To estimate the damage level, Stopping and Range of Ions in Matter (SRIM) software with full damage cascades mode was employed with the displacement threshold energies of Ti, Si, and C atoms in Ti<sub>3</sub>SiC<sub>2</sub> being set as 25, 15, and 28 eV,<sup>30</sup> respectively. And the material density of Ti<sub>3</sub>SiC<sub>2</sub> in the calculations was set as 4.52 g/cm<sup>3</sup>.<sup>31</sup> The calculated depth variation of radiation damage and incident Si concentration are shown in Figure 1A. At the  $2 \times 10^{16}$  Si<sup>+</sup> ions/cm<sup>2</sup> fluence, the damage level is 14.5 dpa. In order to avoid the influence of impurity elements on the microstructure and composition

**FIGURE 1** The distribution of radiation damage and concentration of incident Si atoms with respect to the depth of silicon ion–irradiated  $Ti_3SiC_2$  from the simulation by SRIM-2013 software. SRIM, Stopping and Range of Ions in Matter





**FIGURE 2** (A) A cross-sectional STEM BF image of the Si<sup>+</sup> ion–irradiated  $Ti_3SiC_2$  sample; (B) a magnified STEM DF image of the red frame region in (A) and the inset is an SAED pattern from the irradiated  $Ti_3SiC_2$  region; (C) and (D) schematic diagrams of the HSDNs in FCC- and HCP-structured materials, respectively. BF, bright-field; DF, dark-field; FCC, face-centered cubic; HCP, hexagonal close-packed; HSDNs, hexagonal screw dislocation networks; SAED, selected area electron diffraction

of the  $Ti_3SiC_2$  material after irradiation,  $Si^+$  ions were used for the irradiation experiment.

An FEI-Talos FX200 TEM was used for characterizing the microstructures of the materials. Selected area electron diffraction (SAED) patterns and element maps by energydispersive spectroscopy (EDS) as well as high-resolution TEM (HRTEM) images were collected from the materials. The specimens for TEM study were prepared by using a focused ion beam method with the thickness less than 100 nm.

## 3 | RESULTS AND DISCUSSION

Figure 2A is a cross-sectional STEM bright-field image showing the irradiated (in the top) and unirradiated regions (in the bottom) with their boundary marked by a horizontal dotted line. Compared with the unirradiated region, there are more dislocations in the irradiated region,

indicating the formation and accumulation of interstitial defects under the 6 MeV Si<sup>+</sup> ion irradiation at 300°C. Figure 2B is a magnified STEM dark-field image of the region highlighted by a red dotted square frame. The SAED pattern taken from the irradiated region in Figure 2B indicates that the hexagonal close-packed (HCP) Ti<sub>3</sub>SiC<sub>2</sub> phase has been transformed into a face-centered cubic (FCC) structure after irradiation. Ion irradiation-induced HCPto- $\gamma$ -to-FCC phase transformations have already been reported in many kinds of MAX phases, such as Ti<sub>3</sub>AlC<sub>2</sub>,  $V_2$ AlC, and Ti<sub>4</sub>AlN<sub>3</sub>.<sup>15</sup> Another feature that can be seen is that hexagonal screw dislocation networks (HSDNs) are formed at the interface region between the irradiated region with an FCC structure and the unirradiated region with an HCP structure. This means that the ion irradiation has induced the phase transformation of Ti<sub>3</sub>SiC<sub>2</sub> from HCP to FCC structure and the formation of the HSDNs. The HSDNs have been observed in both pristine Ti<sub>3</sub>SiC<sub>2</sub><sup>21</sup> and  $Ti_3AlC_2^{23}$  phases with HCP structures and FCC

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metals during high-temperature creep processes.<sup>32,33</sup> It has been generally agreed that the formation of the HSDNs is resulted from dislocation reactions. In a material with an FCC structure, four groups of dislocations of the hexagonal dislocation networks can be formed through Equations (1–4), as illustrated in Figure  $2C^{32-34}$ . The edge-type dislocation with the [100] burgers vector can be determined by Equation (5). The geometric condition of dislocation reaction Equation (5) is satisfied, but the energy condition is not. However, the irradiation-induced swelling can cause internal mechanical stresses, thus promoting the dislocation reaction.<sup>35</sup> And this kind of [100] segment dislocation had already been observed in Ni-based single-crystal superalloy.<sup>32</sup> Thus, such hexagonal dislocation networks near the damage peak region in the FCC-(Ti<sub>3</sub>Si)C<sub>2</sub> crystal grain formed by the MAX phase transition during the ion irradiation process might be the product of dislocation reactions. In HCP-structured MAX phases, the perfect dislocation decomposition reactions can generate the SFs, as shown by Equation (6).<sup>36</sup> Thus, the formation mechanism of this kind of ordered hexagonal dislocation networks in the pristine Ti<sub>3</sub>SiC<sub>2</sub> is due to the formation of Schottky partial dislocations generated by the decomposition reaction of perfect dislocations, as illustrated in Figure 2D.<sup>32-34</sup> Additionally, the SFs formed by the dissociation reaction (6) can trigger phase transformation from  $\gamma$ -(M<sub>n+1</sub>A)X<sub>n</sub> to fcc-(M<sub>n+1</sub>A)X<sub>n</sub><sup>15,16</sup>:

$$\frac{a}{2}[011] + \frac{a}{2}[10\bar{1}] \to \frac{a}{2}[110] \tag{1}$$

$$\frac{a}{2}[011] + \frac{a}{2}[\bar{1}0\bar{1}] \to \frac{a}{2}[\bar{1}10]$$
(2)

$$\frac{a}{2}[01\bar{1}] + \frac{a}{2}[\bar{1}01] \to \frac{a}{2}[\bar{1}10]$$
(3)

$$\frac{a}{2}[01\bar{1}] + \frac{a}{2}[101] \to \frac{a}{2}[110] \tag{4}$$

$$\frac{a}{2}[101] + \frac{a}{2}[10\bar{1}] \to a[100] \tag{5}$$

$$\frac{1}{3} \langle 1\,1\,\bar{2}\,0\rangle \rightarrow \frac{1}{3} \langle 1\,0\,\bar{1}\,0\rangle + \text{stacking faults} + \frac{1}{3} \langle 0\,1\,\bar{1}\,0\rangle$$
(6)

In the Si<sup>+</sup> ion–irradiated  $Ti_3SiC_2$  sample, some voids with a diameter of 10–30 nm associated with dislocations were also observed in the irradiated region, as displayed in Figure 3A–C. These faceted voids with a hexagonal shape are surrounded with dislocations, vacancies, interstitials, and possibly amorphous, as shown in Figure 3C. It has been reported that the MAX phases were not stable and could decompose under radiation environments accompanying with the out-diffusion of the A atoms and the

formation of voids and MX precipitates.<sup>16</sup> Needle-like precipitates were observed in the neutron-irradiated Ti<sub>2</sub>AlC with the damage up to 10 dpa.<sup>21</sup> Al atoms were found to be sputtered away from the Ti<sub>3</sub>AlC<sub>2</sub> sample under an electron beam irradiation.<sup>37</sup> A TiC nanocrystalline phase was formed in Ti<sub>3</sub>SiC<sub>2</sub> under the 2 MeV iodine ion irradiation.<sup>31</sup> However, different from these researches, no obvious secondary phases, such as TiC and/or SiC, have been observed in this Ti<sub>3</sub>SiC<sub>2</sub> sample. Compared to the unirradiated region, the EDS mapping results indicate that the content of Si and Ti in the irradiation-induced voids region was obviously reduced, as shown in Figure 3D-I. However, the EDS elemental composition ratio results in Figure 4 illustrate that the atomic ratios of Ti and Si are both approximately equal to 3:1 in the unirradiated and irradiated regions, including the void area, which is consistent with the atomic stoichiometry of Ti<sub>3</sub>SiC<sub>2</sub>. This result indicates that the formation of these voids was not due to the decomposition of Ti<sub>3</sub>SiC<sub>2</sub> phase in this Si<sup>+</sup> ion-irradiated Ti<sub>3</sub>SiC<sub>2</sub> sample.

In addition, some related researches reported that cavities/voids in the Ti<sub>3</sub>SiC<sub>2</sub> were observed only along GBs or near the TiC particles, whereas in the Ti<sub>2</sub>AlC, small cavities were observed throughout the matrix phase after irradiation.<sup>21,22</sup> As shown in Figure 3A, no secondary phase can be observed in this Ti<sub>3</sub>SiC<sub>2</sub> sample and most of the radiation-induced voids are attached to the dislocations, not the pristine GBs. It is also worth emphasizing that most of the dislocations were formed by the Si<sup>+</sup> ion irradiation as the density of dislocations in the unirradiated region is very small. The ion irradiation-induced interstitial atoms can be aggregated to form dislocations. The voids/cavities can be formed by the aggregation of vacancies.38 Thus, the formation of these voids/cavities was due to the absorption of vacancies by the dislocations that formed during the ion irradiation process. As the present irradiation temperature (300°C) was not high, neither the interstitial atoms nor the vacancies could move for a long distance to the GB. Therefore, these voids were just right attached to the dislocations.

After being annealed at 900°C for 5 h, a band centered to the peak damage region with much visible voids/cavities can be seen in the irradiated sample, as shown in Figure 4A. The sizes of the voids/cavities at the GBs are much larger over those inside the grains, which was due to the trapping effect of the GBs.<sup>35</sup> In addition to the formation of a large number of voids/cavities, some SFs were also observed in the annealed sample with two of them indicated by arrows in Figure 4A. As indicated by the SAED pattern in Figure 4A, the irradiated region with an FCC structure was found to have transformed back to its original HCP structure after annealing. The HRTEM image in Figure 5B shows that there is still a small amount



**FIGURE 3** (A) An X-STEM image of the Si<sup>+</sup> ion–irradiated region in  $Ti_3SiC_2$  sample; (B) a magnified image of the "A" region in (A); (C) a magnified image of the "B" region in (B); (D) a bright-field HAADF STEM image of the irradiated region in a  $Ti_3SiC_2$  sample; (E) and (F) are the Si and Ti EDS mapping results of (D), respectively; (G) a bright-field HAADF STEM image of the unirradiated region in a  $Ti_3SiC_2$  sample; (H) and (I) are the Si and Ti EDS mapping results of (G), respectively. EDS, energy-dispersive spectroscopy

of amorphous area in the irradiated area after annealing. The shape of the cavities/voids has changed from the hexagonal to rectangular shapes. The average size of the cavities/voids inside the crystal grains is about 20 nm. Density functional theory simulation results<sup>39</sup> have indicated that the radiation-induced C, Si, and Ti interstitials tend to rapidly migrate through the Si layers before being captured or annihilated. On the other hand, the Si vacancy with the migration energy of 0.61 eV is mobile at high temperatures, whereas C and Ti vacancies with the migration energies of 4.13 and 3.51 eV, respectively, are not. Thus, cavities/voids are mainly distributed in the peak damage region, whereas the dislocations and SFs are widely distributed throughout the whole irradiation region. These results agree with our observations in the annealed sample. Actually, the evolution of defects is affected by complex factors, among which temperature is one of the most important factors. The  $T/T_{\rm M}$  (homologous irradiation temperature, where the  $T_{\rm M}$  is the melting point) has been widely used to categorize the temperature dependence of radiation response.<sup>40,41</sup> The amorphization of a crystal structure, the migration of interstitials, the migration of monovacancy, and the migration of vacancy-clusters processes are corresponding to four temperature ranges from I to IV in irradiated materials. The formation process of void/cavity in irradiated materials locates at the III temperature range, and the 900°C annealing process for the Si<sup>+</sup> ion–irradiated Ti<sub>3</sub>SiC<sub>2</sub> sample in this work is in this temperature range.

In the Si<sup>+</sup> ion irradiated and annealed  $Ti_3SiC_2$  sample, ripplocation (RP) structures were observed in the irradiated region but not in the unirradiated regions. Some of the RPs are marked by white arrows in the Figure 5C.

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**FIGURE 4** The EDS elemental composition ratio results of the three areas that marked by yellow squares in Figure 3D,G. EDS, energy-dispersive spectroscopy

Two groups of RPs with different orientations formed a boundary, which is marked by a white dashed curve in Figure 5C. "RP" is a line defect first observed and termed by Kushima et al., and its formation mechanisms are shown in Figure 5D.<sup>42</sup> The self-energy of an RP is sublinearly related with the value of its burgers vector, which makes the same-sign RPs tend to merge. These kinds of RPs have been observed in pristine MAX phases resulted from growth defects or induced by deformation.<sup>31</sup> However, under the ion irradiation and high-temperature annealing conditions, the volumetric swelling can generate the compressive stress inside the material. Meanwhile, Si atoms undergo a recrystallization reaction with the irradiationinduced C and/or Ti interstitial atoms, which introduces additional structural units into some local regions. These two situations can synergistically result in the formation of RPs during the ion irradiation and annealing processes.



**FIGURE 5** X-TEM BF images of the irradiated and annealed  $Ti_3SiC_2$  sample: (A) the image of the peak damage region and its corresponding SAED pattern in the inset; (B) a magnified HRTEM image from the center of (A); (C) a BF image showing the irradiated region with ripplocations; and (D) schematic drawing showing the formation mechanisms of ripplocations. BF, bright-field; HRTEM, high-resolution TEM; SAED, selected area electron diffraction

## 4 | CONCLUSIONS

The main conclusions of the microstructure evolutions of the  $Si^+$  ion-irradiated and annealed  $Ti_3SiC_2$  samples are listed as follows:

- 1.  $Ti_3SiC_2$  was found to have transformed from its HCP structure into an FCC structure after irradiation without any secondary phases formed. Some hexagonal voids/cavities were formed in the irradiated  $Ti_3SiC_2$ , which was due to the absorption of vacancies by the dislocations.
- 2. Some HSDNs and SFs were formed at the boundaries between the irradiated and unirradiated regions. The hexagonal dislocation networks are the products of dislocations reactions. In the crystalline of an FCC structure, it can be transformed from the rectangular dislocation networks. In the crystal of an HCP structure, it can be formed by the decomposition reaction of perfect dislocations with the formation of SFs.
- 3. After annealing treatment, the irradiated  $Ti_3SiC_2$  has transformed its structure from FCC back to HCP. Highdensity cavities were generated at the damage peak region. Due to the difference in migration energy of vacancies and interstitial atoms, cavities are mainly distributed in the peak damage region, whereas the dislocations and SFs are widely distributed throughout the whole irradiation area after annealing. These grouped defects were formed along the basal planes.
- 4. After annealing, RPs have been observed in the irradiated region in  $Ti_3SiC_2$  samples but not the unirradiated region. In addition to the possibility that RPs were formed in the original sample during the preparation process, compressive stress induced by the volumetric swelling during the ion irradiation process and extra structural units that introduced into the irradiated  $Ti_3SiC_2$  during the high-temperature annealing process are also the reasons for its formation.

Our experimental results of microstructure evaluation and insights into the formation processes and corresponding mechanisms of these defect structures might benefit the design of advanced irradiation tolerance materials in next generation reactors.

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